

## New Client Information Sheet

We look forward to working with you!

**\*A color copy of a valid, government issued photo ID is required to open an account.\***

*If using a passport, please provide proof of address.*

### Individual Information:

First Name: \_\_\_\_\_ Middle: \_\_\_\_\_ Last: \_\_\_\_\_

Address: \_\_\_\_\_ Address 2: \_\_\_\_\_

City, State, County: \_\_\_\_\_ Zip: \_\_\_\_\_ DOB: \_\_\_\_\_

### Info not found on license:

Home #: \_\_\_\_\_ Cell #: \_\_\_\_\_

Primary Email: \_\_\_\_\_ SSN: \_\_\_\_\_

### **Employer Information:** (if unemployed, student, or retired, please indicate in employer field)

Main Employer: \_\_\_\_\_

Occupation: \_\_\_\_\_ Title: \_\_\_\_\_

Work #: \_\_\_\_\_

### **Call-in Authentication code (8-15 Characters):** \_\_\_\_\_

This is a tool bank employees will use to may authenticate a customer or Limited Third-Party, at least 8 and no more than 15 alpha characters, numeric characters, or combination of alphanumeric characters

\*Call-in Authentication Code Restrictions: (It may not include a version of the persons birthdate, the last 4 digits of their social security number, address, or maiden name)\*

## Due Diligence

Customer and Account Due Diligence questions are tools the bank uses to predict, track, and trace money laundering, as well as to counter the financing of terrorism. These questions must be answered by every customer intending to open an account with the bank

### Customer Due Diligence for Individual:

Are you directly or indirectly involved with a Marijuana Related Business? Y/N \_\_\_\_\_

Employment Status: Retired      Student      Homemaker      Unemployed

Employed      If so, occupation category \_\_\_\_\_ Name of Business/Employer \_\_\_\_\_

Are you a Citizen of a foreign country? Y/N \_\_\_\_\_ If so, what country? \_\_\_\_\_

Do you live in a foreign country? Y/N \_\_\_\_\_ If so, what country? \_\_\_\_\_

Do you hold a prominent public office, Politically exposed? Y/N \_\_\_\_\_ What is the position? \_\_\_\_\_

In what country do you hold your position? \_\_\_\_\_

Are you a close associate or family member of a senior foreign political figure? Y/N \_\_\_\_\_

What is your relationship to the associated senior foreign political figure? \_\_\_\_\_

What is the name of the associated senior foreign political figure? \_\_\_\_\_

Have you experienced fraud at another financial institution? Y/N \_\_\_\_\_

### Account Due Diligence for Personal Account(s):

Personal Account purpose: Household/living expenses      Savings - General      Other

Is the opening Deposit Cash? Y/N \_\_\_\_\_

Will you W/D more than 10k cash monthly? Y/N \_\_\_\_\_ Will you Deposit more than 10k cash monthly? Y/N \_\_\_\_\_

Will you originate or receive domestic wires? Y/N \_\_\_\_\_ Will you originate or receive foreign wires? Y/N \_\_\_\_\_

#### USA Patriot Act

In accordance with Section 326 of the USA Patriot Act, applicants for new accounts are requested to provide current picture identification that verifies identity including name, address and other identifying information.

In some cases, identification will be requested for current account holders if original documentation was not obtained with the opening of the account. In all cases, protection of our customers; identity and confidentiality is our pledge to you.

We proudly support all efforts to protect and maintain the security of our customers and our country.

